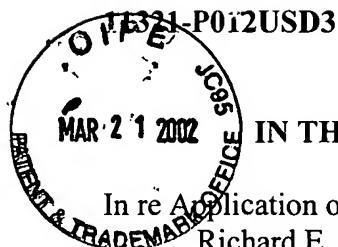


644/1754  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Richard E. Smalley et al.

For: METHOD FOR FORMING AN ARRAY  
OF SINGLE-WALL CARBON  
NANOTUBES AND COMPOSITIONS  
Thereof

Atty Dkt: 11321-P012USD3

§ Serial No: 10/034,745  
§ (division of application  
§ Serial No. 09/380,545)  
§  
§ Filed: December 28, 2001  
§  
§ Group Art Unit: 1754 (anticipated)  
§  
§ Prior Examiner: Stuart Hendrickson  
§ 703.308.2539

#4/B(12)  
5-1-02  
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A.B.

U.S. Patent and Trademark Office  
Washington, D.C. 20231

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This document is being deposited with the U.S. Postal Service as U.S. First Class Mail with sufficient postage, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.	
<u>11 March 2002</u> Date	<u><i>Wanda Alexander Warren</i></u> Signature
	<u>Wanda Alexander Warren</u> Printed Name

SECOND PRELIMINARY AMENDMENT

Sir:

This paper supplements a Preliminary Amendment filed December 28, 2001 which accompanied a Request for Filing Divisional Application Under 37 C.F.R. § 1.53(b). Applicant believes no fee is due with the filing of this paper, however, if any fees are determined to be due, the Assistant Commissioner, Commissioner, and/or the Director of the U.S. Patent & Trademark Office is/are hereby authorized to charge any such fees to Winstead Sechrest & Minick Deposit Account No. 23-2426, referencing matter number 11321-P012USD3.

AMENDMENTS

In the Abstract

Please amend the abstract by replacing the present abstract with the following:

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MAR 25 2002  
TC 1700